

1

2

3

4

5

6

7

8 Flexibility, variability and constraint in energy management patterns across vertebrate taxa
9 revealed by long-term heart rate measurements

10

11

12 Halsey LG*, Green JA, Twiss SD, Arnold W, Burthe SJ, Butler PJ, Cooke SJ, Grémillet D, Ruf T,
13 Hicks O, Minta KJ, Prystay TS, Wascher CAF, Careau V

14 *l.halsey@roehampton.ac.uk

15 Keywords: annual cycle, eco-physiology, energy management, energetics, heart rate,
16 metabolic rate

17

18 Running headline:

19 Energy management patterns of vertebrates

20 Summary

- 21 1) Animals are expected to be judicious in the use of the energy they gain due to the
22 costs and limits associated with its intake. The management of energy expenditure
23 (EE) exhibited by animals has previously been considered in terms of three patterns:
24 the constrained, independent and performance patterns of energy management.
25 These patterns can be interpreted by regressing daily EE against maintenance EE
26 measured over extended periods. From the multiple studies on this topic, there is
27 equivocal evidence about the existence of universal patterns in certain aspects of
28 energy management.
- 29 2) The implicit assumption that animals exhibit specifically one of three discrete energy
30 management patterns, and without variation, seems simplistic. We suggest that
31 animals can exhibit gradations of different energy management patterns and that the
32 exact pattern will fluctuate as their environmental context changes.
- 33 3) To investigate these ideas, and for possible large-scale patterns in energy
34 management, we analysed long-term heart rate data – a strong proxy for EE – across
35 and within individuals in 16 species of birds, mammals, and fish.
- 36 4) Our analyses of 292 individuals representing 46 539 observation-days suggest that
37 vertebrates typically exhibit predominantly the independent or performance energy
38 patterns at the across-individual level, and that the pattern does not associate with
39 taxonomic group. Within individuals, however, animals generally exhibit some degree
40 of energy constraint. Together, these findings indicate that across diverse species,
41 some individuals supply more energy to all aspects of their life than do others,
42 however all individuals must trade-off deployment of their available energy between
43 competing functions. This demonstrates that within-individual analyses are essential
44 for interpretation of energy management patterns.
- 45 5) We also found that species do not necessarily exhibit a fixed energy management
46 pattern but rather temporal variation in their energy management over the year.
47 Animals' energy management exhibited stronger energy constraint during periods of
48 higher EE, which typically coincided with clear and key life cycle events such as
49 reproduction, suggesting an adaptive plasticity to respond to fluctuating energy
50 demands.

Introduction

Every biological process of an organism costs energy and animals are therefore limited in their actions by their energy throughput; the amount of energy they consume and use. The limit on their energy throughput may be due to finite food availability in the environment (Stearns 1992; Thomas *et al.* 2001; McNab 2002), or inherent limitations in their capacity to accumulate energy in terms of harvesting, digesting or assimilating it (Drent & Daan 1980; Hammond & Diamond 1997; West, Brown & Enquist 1999; Gearty, McClain & Payne 2018) (fig. 1). Alternatively, animals may have a ceiling on their rate of energy expenditure, perhaps due to limited musculature (Hammond & Diamond 1997), or in order to avoid physiological damage (Piersma 2011) due to, for example, hyperthermia (Speakman & Krol 2010; Nilsson & Nord 2018). Furthermore, energy throughput may be optimised in animals, and any increase in throughput could have fitness consequences such as increased risk of mortality (Daan, Deerenberg & Dijkstra 1996; Santos & Nakagawa 2012), perhaps due to immune suppression (Pontzer 2018) or oxidative stress (Costantini, Dell'Ariccia & Lipp 2008). Although there have been a number of studies about energy management (e.g. Daan, Masman & Groenewold 1990; Ricklefs, Kornazewski & Daan 1996; Pontzer 2015; Portugal *et al.* 2016), the resultant observations have not formed a coherent picture and we presently lack an understanding of whether there are governing principles operating across taxa. It seems possible then that the limiter on an animal's energy throughput probably varies between species and may vary within species and individuals, across their life histories and seasonally (Speakman & Krol 2010; Careau *et al.* 2013)

Patterns of energy management

As previously proposed (e.g. Ricklefs, Kornazewski & Daan 1996), we can consider an animal's management of energy expenditure in terms of two broad sets of processes. The first broad category includes energy spent on 'maintenance' processes required to maintain homeostasis [which can include respiration (Codd *et al.* 2005), immuno-competency (Deerenberg *et al.* 1997), blood circulation, nerve function, thermoregulation (Lewden *et al.* 2017), digestion (Secor 2009), reproductive physiology (Perrigo & Bronson 1983; Perrigo 1987; Ellison 2003; Wiersma & Verhulst 2005), protein turnover (Yu *et al.* 1999) and somatic

repair more broadly (Wiersma & Verhulst 2005)]. The second broad category includes energy spent directly on auxiliary processes, which have typically been termed ‘activity’ and assumed to constitute solely active behaviours such as locomotion, mate competition and parental care (Ricklefs, Kornazewski & Daan 1996; Halsey *et al.* 2015; Careau 2017), but can also include inactive behaviours such as maintaining a posture (Levine, Schleusner & Jensen 2000; Ward, Speakman & Slater 2003) and fidgeting (Levine, Eberhardt & Jensen 1999), (see the Supplementary for more information). Presently, the literature recognises three possible models of energy management patterns that animals could follow (Careau & Garland Jr 2015; Mathot & Dingemanse 2015; Careau 2017). The pattern that is often the default assumption of how energy is managed in animals is that the amount of energy spent on activity is not related to the amount of energy spent on maintenance processes. In this ‘independent’ pattern of energy management (Careau & Garland Jr. 2012) overall energy expenditure is not restricted and increases in energy spent on activity do not require a compensatory decrease in energy spent on maintenance. This management pattern is represented by positive, unitary (slope ~ 1) covariation between overall and maintenance energy expenditure (Mathot & Dingemanse 2015) (supplementary fig. 1A). Alternatively, an animal could be constrained to maintain its overall energy expenditure within a narrow range (Pontzer 2015), in which case any increase in activity or other auxiliary processes (resulting in increased energy expenditure) must be countered by a decrease in maintenance energy expenditure (Deerenberg *et al.* 1998; Welcker *et al.* 2014) or, vice-versa, increased maintenance necessitates decreases in auxiliary processes. This energy management pattern is termed the ‘constrained’ pattern (Pontzer 2015) and is defined by a lack of covariation between an animal’s overall energy expenditure (often measured as daily energy expenditure) and its maintenance energy expenditure over time (Careau 2017) (supplementary fig. 1B). The third energy management pattern, which also does not restrain overall energy expenditure, is defined by an intrinsic link between auxiliary and maintenance energy expenditure, such that an increase in one is associated with an increase in the other: the ‘performance’ pattern of energy management (Careau *et al.* 2008). This can arise when sustaining high levels of activity requires subsequent physical and/or physiological recovery. Alternatively, animals becoming more active may require costly digestive organs to assimilate, and/or increased muscularity to expend, the extra energy associated with that activity (Swanson, McKechnie & Vézina 2017; Yap *et al.* 2017). Consequently, this management pattern is represented by

positive covariation between overall and maintenance energy expenditure with a slope gradient greater than 1 (Mathot & Dingemanse 2015) (supplementary fig. 1C).

Different energy management patterns may be preferable in different scenarios, although studies to date have not formerly investigated such context-dependency. Animals exhibiting high levels of activity during periods of food scarcity might in particular benefit from reducing maintenance energy expenditure - the constrained pattern - because this approach would prevent their overall energy requirements from increasing, which may enhance survivability. For example, mice exposed to a food shortage, and obliged to work for that food, respond by daily torpor (Hut *et al.* 2011). By contrast, energy expenditure represented by the independent pattern in this scenario would cause an increase in overall energy requirements coinciding with periods when starvation risk is highest (Pontzer 2015). The constrained pattern might also prove advantageous when food is abundant but predation risk is high, because limiting energy requirements would reduce the need to forage, in turn limiting exposure to predators (Mathot *et al.* 2016).

In some situations, however, an uncoupling of the energy expenditure of different organ systems and thus removing constraints on overall energy expenditure (the independent pattern) might be advantageous, providing the animal with energetic flexibility to respond to their changing ecology or life history. While eider ducks are moulting, their cost of feather production appears to increase their maintenance metabolic rate while their activity energy costs remain unchanged (Guillemette & Butler 2012). During periods when food availability is predictably high and an enhanced physical state is required, such as in king penguins foraging at sea after a fast (Gauthier-Clerc *et al.* 2002), animals may benefit from a pattern of energy expenditure described by the performance pattern of energy management. They could take advantage of the copious energy supplies to augment their physical capacity for foraging and to rebuild their fat stores, during which they are expending increased amounts of energy on activity and other auxiliary processes, and also on maintenance energy expenditure due to their increase in body mass, digestive organs, and muscle volume.

The energy management patterns adopted by animals have typically been assessed by looking at the phenotypic correlation between overall and maintenance metabolic rates across individuals (Fyhn *et al.* 2001; Tieleman *et al.* 2008; Careau *et al.* 2013; Portugal *et al.* 2016), resulting in varying conclusions. Fyhn *et al.* (2001) reported that a population of

kittiwakes *Rissa tridactyla* exhibited no correlation between their maintenance and overall metabolic rates, indicating they followed the independent pattern of energy management, while Tieleman et al. (2008) found that maintenance and overall metabolic rates positively correlated in the house wren *Troglodytes aedon*. Across-individual analyses are complicated by the fact that metabolic rates vary as much within individuals as across individuals, as indicated by only moderate repeatability (White, Schimpf & Matthews 2013). Hence, the phenotypic correlation between overall and maintenance metabolic rates can be shaped by processes occurring at both the across- and within-individual levels (Dingemanse & Dochtermann 2013). Investigating the correlation at both these levels is therefore required to fully interpret the covariation present (Careau & Wilson 2017). The across- and within-individual levels of analysis explore different, though related, biological questions: at the across-individuals level we are asking whether individuals that, on average, have high overall energy expenditure also have, on average, higher maintenance energy expenditure. By contrast, at the within-individual level we are asking whether an individual's changes in overall energy expenditure are correlated with its changes in maintenance energy expenditure.

Energy management patterns as a fluctuating continuum

Previous studies considering energy management patterns, including a recent review of those studies by Mathot and Dingemanse (2015), and subsequent single- and multi-species studies (Portugal *et al.* 2016; Careau 2017), have implicitly assumed that species or individuals consistently exhibit one of the patterns discretely. However, there is no reason to suppose that the energy management pattern adopted should be exactly the constraint pattern or exactly the independent pattern, nor that the pattern should be consistent over time. It is more likely that patterns of energy expenditure will typically indicate a blend of patterns. Fig. 2 illustrates how the presence of a threshold constraint on an animal's energy throughput (such as food intake) could drive the pattern of energy expenditure to be that of a degree of energy constraint (slope = 0) when the threshold is consistently being reached or alternatively more predominantly the independent pattern (slope = 1) when the threshold is consistently not being reached. In turn, animals that reach this threshold sometimes but not

always would have an average slope value less than 1, but not 0, indicating partial constraint of their energy expenditure.

One of the main limitations to testing whether energy management patterns differ at the across- vs within-individual levels, and over time, is that repeated measures of both overall and maintenance energy expenditure must be obtained in multiple individuals. A viable method for obtaining such data is through the biologging of heart rate (f_H). Heart rate is a robust proxy of metabolic rate, the two positively correlating in every endothermic species and most ectothermic species (cf. Thorarensen, Gallaughier & Farrell 1996) examined to date (Green 2011), in accordance with Fick's (1870) principle of convection within the cardiovascular system. Accordingly, Portugal et al. (2016) demonstrated that for multiple bird species, for which metabolic rate- f_H calibrations are available, assessment of energy management patterns does not change when based on metabolic rate estimates compared to f_H measures. Consequently, f_H measures can be analysed to investigate the energy management patterns of those animals, where daily mean f_H represents daily energy expenditure and daily minimum f_H represents (daily) maintenance energy expenditure. Auxiliary energy expenditure is represented by the difference between daily mean f_H and daily minimum f_H .

We assembled a dataset that included a total of 46 539 observation-days on 292 individuals of 16 species of free-ranging birds and mammals, including humans, and also an ectothermic fish species. Such a dataset allowed us to investigate a number of key questions about energy management patterns in free-ranging vertebrates, including:

- 1) Do the patterns of energy management differ between species and taxonomic groups?
- 2) Are there systematic differences in energy management patterns between the across- and within-individual levels?
- 3) Within species, is there variation in the patterns of energy management over time and can this be explained by their daily energy expenditure or ecology?

Methods

The current analysis includes heart rate (f_H) datasets from 9 bird (all water birds), 6 mammal and 1 fish species performing a range of natural behaviours and locomotion modes (Table 1). Some of these datasets have been reported elsewhere; for details of the devices used to measure f_H and the data collection protocols refer to the citations in Table 1. For most of the species included in our analyses, heart rate was obtained from electrocardiography. For alpine ibex and the red deer in dataset 1, however, heart rate was determined from an accelerometer located in the reticulum, which accurately recorded heart rate when the instrumented animal was stationary and during relatively gentle activity (Signer *et al.* 2010). During high levels of activity, heart rate was not discernible in the recorded acceleration data due to signal noise. However, the accelerometer did successfully record the elevated heart rates of the animals immediately after activity. Heart rate measurements for red deer dataset 2 were obtained from electrocardiograms and had a range (~ 35 to $85 \text{ beats min}^{-1}$) similar to that of red deer dataset 1 (~ 35 to $75 \text{ beats min}^{-1}$). The dataset for roe deer also included activity count data that we analyse here (see Supplementary). The datasets for human beings *Homo sapiens*, grey seals *Halichoerus grypus*, and sockeye salmon *Oncorhynchus nerka* have not been published previously; see Supplementary for details of the methods of data collection for these species.

Calculating variables for analysis

Daily mean f_H was calculated for each 24-h period for each individual of every species. Minimum daily f_H was determined by calculating mean f_H for consecutive short time intervals (2 to 15 min, depending on the study) throughout the 24-h day and selecting the lowest of these values for each day (Portugal *et al.* 2016).

Statistical analyses

We assessed the use of alternative energy management patterns by the study species (along the continua between the full constrained and full independent patterns, and the performance patterns) at both the across- and within-individual levels. This was achieved through visual assessment of the slope and 95% confidence intervals of regression between daily mean f_H against minimum daily f_H . These regressions were generated from a single mixed model for each species, including individual identity as a random effect to account for

the repeated values representing each individual. Daily mean f_H is very likely to show temporal autocorrelation (Portugal *et al.* 2016), which we accounted for using lme() in R (nlme package) to fit models that included autocorrelation structure in the residuals.

In situations where the within-individual relationship differs from the across-individual relationship, these relationships can be confounded in a standard mixed model (Van de Pol & Wright 2009). We used the within-subject centring approach (Van de Pol & Wright 2009; Dingemanse *et al.* 2010) to distinguish between alternative energy management patterns at the across- and within-individual levels. This involves fitting minimum daily f_H both as individual means (\bar{x}_j) and deviations from individual means ($x_{ij} - \bar{x}_j$), where x_{ij} is a daily observation of minimum f_H i from subject j . In simple terms, the across-individuals slope (β_A) can be obtained by attributing all observations of minimum daily f_H in a given individual the same average value (\bar{x}_j), whereas the within-individual slope (β_W) can be obtained by subtracting the subject's mean value (\bar{x}_j) from each observation value (x_{ij}). Specifically, we analysed i daily observations of average f_H on subject j (y_{ij}) as:

$$y_{ij} = (\beta_0 + u_{0j}) + \beta_A \bar{x}_j + (\beta_W + u_{Wj})(x_{ij} - \bar{x}_j) + e_{0ij}$$

where;

- y_{ij} is the response variable (daily mean f_H)
- β_0 is the fixed effect of the intercept;
- u_{0j} is a random intercept associated with individual identity;
- β_A is the across-individual slope fixed effect (β_A) associated with individual means (\bar{x}_j);
- β_W is the within-individual slope fixed effect (β_W) associated with deviations from individual means ($x_{ij} - \bar{x}_j$);
- u_{Wj} is the random slope allowing for individual variation in the within-individual slope;
- and e_{0ij} is a residual error term modelled with an autoregressive function of order 1 with day of the year (time covariate) fitted within individual identity (grouping factor).

Although we were not specifically interested in u_{Wj} (the individual variance in the slope of the within-individual relationship between mean and minimum daily f_H), allowing individuals to differ in their within-individual slopes is important for properly estimating uncertainty around the population-level within-individual slope (β_W) (Schielzeth & Forstmeier 2008). We did not fit a covariance between u_{0j} and u_{Wj} because the models would not converge in some

species where either the random intercepts or slope variance components were close to zero. The energy management patterns were assessed by plotting the slope of the regression line between daily mean f_H and minimum daily f_H , and its 95% confidence interval (CI), on a horizontal, graded box plot (Careau 2017). The fully constrained pattern is represented by slope=0, the fully independent pattern by slope=1 and the performance pattern by slope>1 (Ricklefs, Kornazewski & Daan 1996; Mathot & Dingemanse 2015; Careau 2017). A slope value between 0 and 1 would suggest a degree of both the constrained and independent patterns, i.e. partial compensation of high maintenance or high auxiliary energy expenditure.

To investigate variation in energy management pattern through the annual cycle and how this relates to daily energy expenditure, we explored changes in the across- and within-individual slopes for each month separately, for red deer dataset 1, alpine ibex, and greylag geese. Each of these datasets included a majority of individuals (14 individuals) with >300 d of heart rate data. Linear regressions formally tested whether variation in the monthly within-individual slopes related to monthly means of daily mean f_H .

While there is of course some degree of inaccuracy in estimating metabolic rate from heart rate {Green, 2011 #2273}, we assume this noise is overwhelmed by the signal of heart rate changes at the scale we are observing in our analyses.

Results

In all species except roe deer, there is strong and statistically significant temporal autocorrelation in the residuals at the within-individual level (Supplementary fig.3; Supplementary Table 1). All of the across- and within-individual slope values presented below were extracted from mixed models that accounted for temporal autocorrelation, individual variation in daily mean f_H (random intercepts), and individual variation in the slope of the relationship between mean and minimum daily f_H (random slopes).

The estimated across-individuals slopes are supportive of the pattern of predominantly energy independence (slope =1) and energy performance (slope >1) (fig. 3A and Supplementary Table 2A). The species most clearly following a pattern of energy performance rather than independence are barnacle geese, European shags, macaroni penguins, eider ducks, and sockeye salmon. While there are differences in the degree of

energy performance between species, visual inspection of fig. 3A suggests no differences in pattern between birds in general and mammals in general, or the fish species. By contrast, the within-individual slopes are usually most supportive of an element of energy constraint (Supplementary Table 2B; fig. 3B), with the slope being <1 in 12 out of 16 species, and the 95% CI overlapping with slope $=1$ in only 5 species. Again, there are no apparent differences in the degrees of energy constraint between taxa. Some relationships are similar at the across- and within-individual levels, in particular the Przewalski horse (fig. 4A), and to some degree human beings (fig. 4B). For many of the other species, however, the across- and within-individual slopes are clearly different. For example, eider ducks and sockeye salmon have across-individual slopes that are clearly >1 (indicating a degree of performance pattern) but the within-individual slopes are clearly <1 (energy constraint; fig. 4C-D). In general, there is a decrease in the slope value from the across-individual analysis to the within-individual analysis, summarised by the weighted averages (fig. 3A and B; Supplementary Table 2).

Finally, in those species for which year-round data are available for sufficient individuals (red deer, alpine ibex and greylag geese), there is marked variation in the across- and within-individual slopes. This is most notable at the within-individual level for all three species (fig. 5). Linear regression analyses of mean monthly values of daily mean heart rate against monthly within-individual slope values returned statistically significant, negative relationships for all three species (red deer: $r^2=0.53$, $P=0.007$; alpine ibex: $r^2=0.72$, $P=0.000$; greylag geese: $r^2=0.49$, $P=0.011$; fig. 5) indicating that animals were more likely to be under energetic constraint during months where their daily energy expenditure was higher.

Discussion

For most of the 16 species analysed, there is little or no evidence of any constraint on energy expenditure (a slope value <1) across individuals (fig. 3A). The pattern of energy expenditure is either indicative of predominantly the independent pattern of energy management or to some degree the performance pattern of energy management. Moreover, the pattern does not associate with taxonomic group. For those species exhibiting the independent pattern, for example Przewalski horses and humans (fig. 4 A&B), individuals that exhibit a greater maintenance energy expenditure compared to other individuals also show the same increase

in daily energy expenditure. For those species exhibiting the performance pattern, for example eider ducks and sockeye salmon (fig. 4 C&D), individuals that expend relatively high amounts of energy daily are doing so due to both a high maintenance energy expenditure and a high auxiliary energy expenditure. By contrast, the within-individual slope value is lower than the across-individual value in nearly all species, and is typically <1 but >0 (fig. 3B). In general, individual animals across a broad range of species exhibit partial constraint of their energy expenditure, whereby daily increases in auxiliary processes are partially compensated for by decreases in maintenance processes and vice-versa. Together, these findings echo the Y-model in life-history theory proposed by van Noordwijk & de Jong (1986), in that in species universally, some individuals have a greater capacity to supply energy to all aspects of their life than do others – they are able to intake, digest and deploy a greater amount of energy than conspecifics. But, nonetheless, for every individual, rate of energy throughput is finite and thus all individuals trade-off deployment of their available energy between competing functions. These findings support the idea that energy management analyses focussed solely at the phenotypic level may miss potentially interesting processes occurring at the among- and within-individual levels (Careau & Garland Jr 2015; Careau & Wilson 2017). Finally, our analyses also demonstrate that the energy management pattern an animal exhibits is not fixed. This variability documents an important aspect of animal plasticity while also providing an opportunity to explore what contexts may influence the pattern employed.

Fluctuating energy management patterns

The slope values presented in figs. 4 and 5 are averages over the time of the f_H recordings (Supplementary Table 2), and as such may mask temporal variation in the energy management pattern employed (the fallacy of the average; Denny 2017). A slope value close to 1, indicating predominantly the independent pattern, might in fact reflect that part of the time the performance pattern is being exhibited and the rest of the time some degree of the energy constraint pattern. Animals may exhibit the performance pattern specifically during periods when food availability is high and high energy throughput is advantageous, such as king penguins foraging after a fast and increasing both their muscle and lipid stores (Gauthier-Clerc *et al.* 2002). By contrast, under conditions of food scarcity an increase in activity levels or other auxiliary processes by an individual is more likely to elicit a responsive decrease in maintenance energy expenditure – an element of constrained energy

management. The salmon in the current study, which exhibited relatively strong energy constraint within individuals (fig. 3B), were experiencing these energy-stressed conditions during the experiments – they were measured during their reproductive periods and experienced little to no nutritional intake and high levels of locomotor activity. Animals exhibiting independent energy management at a given time might be expected to increase their energy expenditure until they reach a constraint on their energy throughput to maximise their energy use (and hence exhibit a degree of energy constraint); alternatively they might in fact be near the threshold and maintaining flexibility in their energy allocation.

These possibilities can be explored most thoroughly by investigating variations in animals' energy management over the annual cycle, where threshold constraints, whether related to food availability or other factors, may fluctuate predictably due to seasonal effects on the environment or the animal's ecology. The proposed analysis was possible for red deer, alpine ibex and greylag geese (fig. 5). Over the yearly cycle, we might expect variation in foraging effort for alpine ibex, with typically less food available in the winter months. By contrast, the red deer (dataset 1) were kept inside an enclosure and periodically given supplemental food in addition to the natural forage available (Turbill *et al.* 2011), and the greylag geese received supplementary food consistently (Wascher, Kotrschal & Arnold 2018). Nonetheless, the red deer exhibited variation through the year in their energy management (fig. 5). At the across-individual level, while overall they exhibited energy independence, through late spring into early summer they exhibited some degree of the performance pattern. At this time of year the females (all the individuals in the study population were female) are finishing gestation and birthing their young. Both daily mean f_H and body mass increase during this period to their yearly peaks; the deer are expending a lot of energy while increasing in size (Clutton-Brock, Guinness & Albon 1982; Turbill *et al.* 2011). The performance pattern exhibited by the deer indicates that at this time some individuals started expending more energy than other individuals both in terms of maintenance processes and auxiliary processes; they were able to achieve a greater energy throughput, supported by supplemental feeding. At the within-individual level, while overall the red deer exhibited a degree of energy constraint, this was strongest around the aforementioned birthing period starting in late spring. Probably, the large energy costs of growth at this time, both of the mother and calf (including pronounced growth of the alimentary organs in the

adults; Arnold *et al.* 2015), were supported through a reduction in other energy costs (though not activity; W. Arnould unpublished data).

In contrast to the red deer, the alpine ibex exhibit the greatest degree of energy constraint, i.e. the lowest slope values, during the summer months, both across and within individuals (fig. 5). Thus, despite food being relatively abundant at this time of the year, when the ibex expend large amounts of energy on auxiliary processes such as activity their maintenance energy expenditure is low, and vice-versa. Ibex appear to be constrained by their ability to dissipate heat; on hot summer days they move to higher altitudes and reduce their foraging activity, possibly indicating that the heat increment of feeding exacerbates their reduction in auxiliary energy expenditure (Aublet *et al.* 2009).

The greylag geese exhibit little clear variability over the yearly cycle in their energy management pattern at the across-individual level, due to large confidence intervals around the slope values. At the within-individual level they exhibit a tendency towards some constraint of their energy expenditure during the summer months, as opposed to uncorrelated auxiliary and maintenance energy expenditure (energy independence) during the rest of the year (fig. 5). Energy expenditure was highest in the geese during the summer; in May for females (coinciding with egg incubation and increased body temperature), and in August for males (coinciding with an increase in agonistic interactions in the newly-formed flock) (Wascher, Kotrschal & Arnold 2018). It appears then that, during the summer months, when energy expenditure is highest, the geese constrain their daily energy expenditure by trading off auxiliary and maintenance energy costs. In January, the start of the courtship season, there is a tendency towards the energy performance pattern within individuals - an increase in both auxiliary and maintenance costs. This pattern may be optimal at this time of the breeding cycle, since it is when males in particular are aggressive, and they attempt to secure a nest site and food access for their females in order to maximise reproductive opportunities. The supplemental feeding they receive surely supports this pattern and may exacerbate it.

Studies have usually found that animals obliged to work harder in order to gain a unit of food nonetheless exhibit a limited increase in daily energy expenditure, i.e. they exhibit a degree of energy constraint (Elliott *et al.* 2014; Pontzer 2015). However, data for starlings (Wiersma, Salomons & Verhulst 2005) indicates that maintenance energy expenditure remains constant across experimental conditions resulting in a positive relationship between

daily energy expenditure and activity levels. In all of the studies reviewed by Pontzer (2015) that clearly show the maintenance energy expenditure of the subject animals decreasing in response to increases in activity levels, the animals' daily food intake was also decreasing (Perrigo & Bronson 1983; Tiebout 1991; Bautista *et al.* 1998; Vaanholt *et al.* 2007). By contrast, the aforementioned study on starlings is the only one reporting food intake to increase with increasing activity levels (Wiersma, Salomons & Verhulst 2005). This observation supports the idea that food availability could influence the energy management pattern that animals exhibit.

Without controlled experiments, of course we cannot be sure what factors are driving changes in the energy management pattern exhibited by this study's red deer, alpine ibex and greylag geese. However, the fact that they all show stronger constrained energy management during months when their energy expenditure is higher (fig. 5C, F and I) is compelling and supports our suggestion that the energy expenditure of animals is constrained as they approach a threshold (fig. 2). Such a threshold could be due to a limit on an animal's ability to assimilate energy, or to expend it (fig. 1), or the result of optimising energy throughput in the long term (Daan, Deerenberg & Dijkstra 1996). Furthermore, those periods of high energy expenditure are associated with clear and costly biological processes, suggesting that key aspects of an animal's ecology and life-cycle drive plasticity in energy management.

Conclusions and future work

Understanding what drives constraint in individual animals is the natural next research step. The month-by-month data presented in this study offers some evidence that periods of high energy expenditure are related to increased energy constraint, and that ecological factors can be important influences on energy constraint, and influence the degree of constraint exhibited over time. Variation in levels of constraint between individuals might be explained by sex, age, status in a hierarchy or personality type. However, while the seven Przewalski horses analysed exhibit very similar slopes (fig. 4A), they represent animals of both sexes and a variety of ages. Alternatively, the degree of variation between individuals may be driven predominantly by the degree of similarity in their behaviours and lifestyles (Biro *et al.* 2018). For example, it could be that individual Przewalski horses are more similar to each

other behaviourally than are, for instance, eider ducks (fig. 4C), possibly exacerbated by the fact that the horses were maintained in a large but confined area (Kuntz *et al.* 2006).

Comprehensive information on the environment (food availability, temperature, predation pressure), the physiology (age, body condition) and life-stage of free-living animals (moulting, reproducing, lactating, preparing for migration) will be necessary to better understand the variation in energy patterns they exhibit, and to design meaningful experiments to elucidate the underlying mechanisms.

Authors' contributions

Concept development: LGH, VC and JAG. Data collation: LGH helped by VC. Analysis and interpretation: VC, LGH. Unpublished data: SDT, TSP and SJC, KM and LGH. Manuscript writing: LGH and VC led on writing the manuscript, with support on later drafts especially from JAG and SDT, and input from all authors; all authors gave final approval. No funding was received. None of the authors have conflicts of interest.

Acknowledgements

None.

Data accessibility

Raw data are available on Dryad.

References

- Arnold, W., Beiglböck, C., Burmester, M., Guschlbauer, M., Lengauer, A., Schröder, B., Wilkens, M. & Breves, G. (2015) Contrary seasonal changes of rates of nutrient uptake, organ mass, and voluntary food intake in red deer (*Cervus elaphus*). *American Journal of Physiology-Regulatory, Integrative and Comparative Physiology*, **309**, R277-R285.
- Aublet, J.-F., Festa-Bianchet, M., Bergero, D. & Bassano, B. (2009) Temperature constraints on foraging behaviour of male Alpine ibex (*Capra ibex*) in summer. *Oecologia*, **159**, 237-247.
- Bautista, L., Tinbergen, J., Wiersma, P. & Kacelnik, A. (1998) Optimal Foraging and Beyond: How Starlings Cope with Changes in Food Availability. *The American Naturalist*, **152**, 543-561.
- Biro, P.A., Jr, T.G., Beckmann, C., Ujvari, B., Thomas, F. & Post, J.R. (2018) Metabolic Scope as a Proximate Constraint on Individual Behavioral Variation: Effects on Personality, Plasticity, and Predictability. *The American Naturalist*, **0**, 000-000.

- Careau, V. (2017) Energy Intake, Basal Metabolic Rate, and Within-Individual Trade-Offs in Men and Women Training for a Half Marathon: A Reanalysis. *Physiological and Biochemical Zoology*, **90**, 392-398.
- Careau, V. & Garland Jr, T. (2015) Energetics and behavior: many paths to understanding. *Trends in Ecology & Evolution*, **20**, 1-2.
- Careau, V. & Garland Jr., T. (2012) Performance, Personality, and Energetics: Correlation, Causation, and Mechanism. *Physiological and Biochemical Zoology*, **85**, 543-571.
- Careau, V., Reale, D., Garant, D., Pelletier, F., Speakman, J.R. & Humphries, M. (2013) Context-dependent correlation between resting metabolic rate and daily energy expenditure in wild chipmunks. *Journal of Experimental Biology*, **216**, 418-426.
- Careau, V., Thomas, D., Humphries, M. & Réale, D. (2008) Energy metabolism and animal personality. *OIKOS*, **117**, 641-653.
- Careau, V. & Wilson, R.S. (2017) Of uberfleas and krakens: detecting trade-offs using mixed models. *Integrative and comparative biology*, **57**, 362-371.
- Clutton-Brock, T.H., Guinness, F.E. & Albon, S.D. (1982) *Red deer: behavior and ecology of two sexes*. University of Chicago press.
- Codd, J., Boggs, D., Perry, S. & Carrier, D. (2005) Activity of three muscles associated with the uncinat processes of the giant Canada goose *Branta canadensis maximus*. *Journal of Experimental Biology*, **208**, 849-857.
- Costantini, D., Dell'Ariccia, G. & Lipp, H.-P. (2008) Long flights and age affect oxidative status of homing pigeons (*Columba livia*). *Journal of Experimental Biology*, **211**, 377-381.
- Daan, S., Deerenberg, C. & Dijkstra, C. (1996) Increased daily work precipitates natural death in the kestrel. *Journal of Animal Ecology*, 539-544.
- Daan, S., Masman, D. & Groenewold, A. (1990) Avian basal metabolic rates: their association with body composition and energy expenditure in nature. *American Journal of Physiology*, **259**, R333-R340.
- Deerenberg, C., Arpanius, V., Daan, S. & Bos, N. (1997) Reproductive effort decreases antibody responsiveness. *Proceedings of the Royal Society of London B: Biological Sciences*, **264**, 1021-1029.
- Deerenberg, C., Overkamp, G., Visser, G. & Daan, S. (1998) Compensation in resting metabolism for experimentally increased activity. *Journal of Comparative Physiology B: Biochemical, Systemic, and Environmental Physiology*, **168**, 507-512.
- Denny, M. (2017) The fallacy of the average: on the ubiquity, utility and continuing novelty of Jensen's inequality. *The Journal of Experimental Biology*, **220**, 139-146.
- Dingemanse, N.J. & Dochtermann, N.A. (2013) Quantifying individual variation in behaviour: mixed-effect modelling approaches. *Journal of Animal Ecology*, **82**, 39-54.
- Dingemanse, N.J., Kazem, A.J., Réale, D. & Wright, J. (2010) Behavioural reaction norms: animal personality meets individual plasticity. *Trends in Ecology & Evolution*, **25**, 81-89.
- Drent, R. & Daan, S. (1980) The prudent parent: energetic adjustments in avian breeding. *Ardea*, **68**, 225-252.
- Elliott, K.H., Vaillant, M., Kato, A., Gaston, A.J., Ropert-Coudert, Y., Hare, J.F., Speakman, J.R. & Croll, D. (2014) Age-related variation in energy expenditure in a long-lived bird within the envelope of an energy ceiling. *Journal of Animal Ecology*, **83**, 136-146.
- Ellison, P.T. (2003) Energetics and reproductive effort. *American Journal of Human Biology*, **15**, 342-351.
- Fick, A. (1870) Ueber die Messung des Blutquantums in den Herzventrikeln. *Sitz. Physik. Med. Ges*, **2**, 16.
- Fyhn, M., Gabrielsen, G.W., Nordøy, E.S., Moe, B., Langseth, I. & Bech, C. (2001) Individual Variation in Field Metabolic Rate of Kittiwakes (*Rissa tridactyla*) during the Chick-Rearing Period. *Physiological and Biochemical Zoology*, **74**, 343-355.

535 Gauthier-Clerc, M., Le Maho, Y., Clerquin, Y., Bost, C.-A. & Handrich, Y. (2002) Seabird reproduction
536 in an unpredictable environment: how king penguins provides their young chicks with food.
537 *Marine Ecology Progress Series*, **237**, 291-300.

538 Gearty, W., McClain, C.R. & Payne, J.L. (2018) Energetic tradeoffs control the size distribution of
539 aquatic mammals. *Proceedings of the National Academy of Sciences*.

540 Green, J. (2011) The heart rate method for estimating metabolic rate: Review and recommendations.
541 *Comparative Biochemistry and Physiology, Part A*, **258**, 287-304.

542 Guillemette, M., Pelletier, D., Grandbois, J.-M. & Butler, P.J. (2007) Flightlessness and the energetic
543 cost of wing molt in a large sea duck. *Ecology*, **88**, 2936-2945.

544 Guillemette, M. & Butler, P. (2012) Seasonal variation in energy expenditure is not related to activity
545 level or water temperature in a large diving bird. *Journal of Experimental Biology*, **215**, 3161-
546 3168.

547 Halsey, L.G., Matthews, P.G.D., Rezende, E.L., Chauvaud, L. & Robson, A.A. (2015) The interactions
548 between temperature and activity levels in driving metabolic rate: theory, with empirical
549 validation from contrasting ectotherms. *Oecologia*, **177**, 1117-1129.

550 Hammond, K.A. & Diamond, J. (1997) Maximal sustained energy budgets in humans and animals.
551 *Nature*, **386**, 457-462.

552 Hut, R.A., Pilorz, V., Boerema, A.S., Strijkstra, A.M. & Daan, S. (2011) Working for food shifts
553 nocturnal mouse activity into the day. *PLoS ONE*, **6**, e17527.

554 Kuntz, R., Kubalek, C., Ruf, T., Tataruch, F. & Arnold, W. (2006) Seasonal adjustment of energy
555 budget in a large wild mammal, the Przewalski horse (*Equus ferus przewalskii*) I. Energy
556 intake. *Journal of Experimental Biology*, **209**, 4557-4565.

557 Levine, J., Eberhardt, N. & Jensen, M. (1999) Role of Nonexercise Activity Thermogenesis in
558 Resistance to Fat Gain in Humans. *Science*, **283**, 212-214.

559 Levine, J.A., Schleusner, S.J. & Jensen, M.D. (2000) Energy expenditure of nonexercise activity. *The*
560 *American Journal of Clinical Nutrition*, **72**, 1451-1454.

561 Lewden, A., Enstipp, M.R., Picard, B., van Walsum, T. & Handrich, Y. (2017) High peripheral
562 temperatures in king penguins while resting at sea: thermoregulation versus fat deposition.
563 *The Journal of Experimental Biology*, **220**, 3084-3094.

564 Mathot, K. & Dingemanse, N. (2015) Energetics and behavior: unrequited needs and new directions.
565 *TREE*, **30**, 199-206.

566 Mathot, K.J., Abbey-Lee, R.N., Kempenaers, B. & Dingemanse, N.J. (2016) Do great tits (*Parus major*)
567 suppress basal metabolic rate in response to increased perceived predation danger? A field
568 experiment. *Physiology & Behavior*, **164**, 400-406.

569 McNab, B.K. (2002) *The physiological ecology of vertebrates: a view from energetics*. CUP.

570 Nilsson, J.-Å. & Nord, A. (2018) Testing the heat dissipation limit theory in a breeding passerine.
571 *Proceedings of the Royal Society B: Biological Sciences*, **285**.

572 Perrigo, G. (1987) Breeding and feeding strategies in deer mice and house mice when females are
573 challenged to work for their food. *Animal Behaviour*, **35**, 1298-1316.

574 Perrigo, G. & Bronson, F. (1983) Foraging effort, food intake, fat deposition and puberty in female
575 mice. *Biology of Reproduction*, **29**, 455-463.

576 Piersma, T. (2011) Why marathon migrants get away with high metabolic ceilings: towards an
577 ecology of physiological restraint. *Journal of Experimental Biology*, **214**, 295-302.

578 Pontzer, H. (2015) Constrained total energy expenditure and the evolutionary biology of energy
579 balance. *Exercise and sport sciences reviews*, **43**, 110-116.

580 Pontzer, H. (2018) Energy Constraint as a Novel Mechanism Linking Exercise and Health. *Physiology*,
581 **33**, 384-393.

582 Portugal, S.J., Green, J.A., Halsey, L.G., Arnold, W., Careau, V., Dann, P., Frappell, P.B., Grémillet, D.,
583 Handrich, Y., Martin, G.R., Ruf, T., Guillemette, M.M. & Butler, P.J. (2016) Associations
584 between Resting, Activity, and Daily Metabolic Rate in Free-Living Endotherms: No Universal
585 Rule in Birds and Mammals. *Physiological and Biochemical Zoology*, **89**, 251-261.

- Ricklefs, R.E., Kornazewski, M. & Daan, S. (1996) The relationship between basal metabolic rate and daily energy expenditure in birds and mammals. *The American Naturalist*, **147**, 1047-1071.
- Santos, E. & Nakagawa, S. (2012) The costs of parental care: a meta-analysis of the trade-off between parental effort and survival in birds. *Journal of Evolutionary Biology*, **25**, 1911-1917.
- Schielzeth, H. & Forstmeier, W. (2008) Conclusions beyond support: overconfident estimates in mixed models. *Behavioral Ecology*, **20**, 416-420.
- Secor, S.M. (2009) Specific dynamic action: a review of the postprandial metabolic response. *Journal of Comparative Physiology B: Biochemical, Systemic, and Environmental Physiology*, **179**, 1-56.
- Signer, C., Ruf, T., Schober, F., Fluch, G., Paumann, T. & Arnold, W. (2010) A versatile telemetry system for continuous measurement of heart rate, body temperature and locomotor activity in free-ranging ruminants. *Methods in Ecology and Evolution*, **1**, 75-85.
- Speakman, J.R. & Krol, E. (2010) Maximal heat dissipation capacity and hyperthermia risk: neglected key factors in the ecology of endotherms. *Journal of Animal Ecology*, **79**, 726-746.
- Stearns, S.C. (1992) *The evolution of life histories*. Oxford University Press Oxford.
- Swanson, D.L., McKechnie, A.E. & Vézina, F. (2017) How low can you go? An adaptive energetic framework for interpreting basal metabolic rate variation in endotherms. *Journal of Comparative Physiology B*, 1-18.
- Thomas, D.W., Blondel, J., Perret, P., Lambrechts, M.M. & Speakman, J.R. (2001) Energetic and Fitness Costs of Mismatching Resource Supply and Demand in Seasonally Breeding Birds. *Science*, **291**, 2598-2600.
- Thorarensen, H., Gallagher, P. & Farrell, A. (1996) The limitations of heart rate as a predictor of metabolic rate in fish. *Journal of Fish Biology*, **49**, 226-236.
- Tiebout, H.M. (1991) Daytime energy management by tropical hummingbirds: responses to foraging constraint. *Ecology*, **72**, 839-851.
- Tieleman, B.I., Dijkstra, T.H., Klasing, K.C., Visser, G.H. & Williams, J.B. (2008) Effects of experimentally increased costs of activity during reproduction on parental investment and self-maintenance in tropical house wrens. *Behavioural Ecology*, **19**, 949.
- Turbill, C., Ruf, T., Mang, T. & Arnold, W. (2011) Regulation of heart rate and rumen temperature in red deer: effects of season and food intake. *The Journal of Experimental Biology*, **214**, 963-970.
- Vaanholt, L.M., De Jong, B., Garland, T., Daan, S. & Visser, G.H. (2007) Behavioural and physiological responses to increased foraging effort in male mice. *Journal of Experimental Biology*, **210**, 2013-2024.
- Van de Pol, M. & Wright, J. (2009) A simple method for distinguishing within-versus between-subject effects using mixed models. *Animal Behaviour*, **77**, 753-758.
- van Noordwijk, A. & de Jong, G. (1986) Acquisition and Allocation of Resources: Their Influence on Variation in Life History Tactics. *The American Naturalist*, **128**, 137-142.
- Ward, S., Speakman, J.R. & Slater, P.J.B. (2003) The energy cost of song in the canary, *Serinus canaria*. *Animal Behaviour*, **66**, 893-902.
- Wascher, C.A.F., Kotrschal, K. & Arnold, W. (2018) Free-living greylag geese adjust their heart rates and body core temperatures to season and reproductive context. *Scientific reports*, **8**, 2142.
- Welcker, J., Speakman, J.R., Elliott, K.H., Hatch, S.A. & Kitaysky, A.S. (2014) Resting and daily energy expenditures during reproduction are adjusted in opposite directions in free-living birds. *Functional Ecology*, **29**, 250-258.
- West, G.B., Brown, J.H. & Enquist, B.J. (1999) The fourth dimension of life: fractal geometry and allometric scaling of organisms. *Science*, **284**, 1677-1679.
- White, C.R., Schimpf, N. & Matthews, P.G.D. (2013) The repeatability of metabolic rate declines with time. *Journal of Experimental Biology*, **216**, 1763-1765.
- Wiersma, P., Salomons, H.M. & Verhulst, S. (2005) Metabolic adjustments to increasing foraging costs of starlings in a closed economy. *Journal of Experimental Biology*, **208**, 4099-4108.

637 Wiersma, P. & Verhulst, S. (2005) Effects of intake rate on energy expenditure, somatic repair and
638 reproduction of zebra finches. *Journal of Experimental Biology*, **208**, 4091-4098.
639 Yap, K.N., Kim, O.R., Harris, K.C. & Williams, T.D. (2017) Physiological effects of increased foraging
640 effort in a small passerine. *The Journal of Experimental Biology*, **220**, 4282-4291.
641 Yu, Y.M., Tompkins, R.G., Ryan, C.M. & Young, V.R. (1999) The metabolic basis of the increase in
642 energy expenditure in severely burned patients. *Journal of Parenteral and Enteral Nutrition*,
643 **23**, 160-168.

644

645 **Table 1.** Heart rate datasets included in this study, collected on free-ranging vertebrates, including 15 endotherms species (9 birds, 6 mammals)
 646 and one ectotherm species (salmon). Shown are the number of individuals (N_{ID}), the range of total daily observations per individual (range n_{OBS}),
 647 the average number of daily observations per individual ($n_{OBS/ID}$), the principal mode(s) of locomotion, and the ecological context of the period of
 648 data collection.

Species	N_{ID}	Range		Main locomotion mode(s)	Key ecological factors	Reference
		n_{OBS}	$n_{OBS/ID}$			
Barnacle geese	6	272-361	331.8	Walking, swimming, flying	Year-round	Portugal et al submitted
Greylag geese	22	44-527	315.6	Walking, flying	Year-round*	Wascher et al 2018
Great cormorants	7	46-122	99.6	Diving, flying	Over-wintering	Grémillet et al 2005
European shags	8	5-35	16.5	Diving, flying	Breeding, foraging	Hicks et al 2017
Australasian gannets	6	28-237	154.3	Flying	Breeding, foraging	Green et al 2013
Little penguins	5	9-200	135.2	Swimming, diving	Non-breeding season	Portugal et al 2016
King penguins	6	6-30	23.8	Swimming, diving	Foraging, breeding	Halsey et al 2010
Macaroni penguins	63	18-450	204.1	Swimming, diving	Year-round	Green et al 2005
Eider ducks	13	131-219	203.4	Swimming, diving, flying	Moulting	Guillemette et al 2007
Przewalski horses	7	37-264	149.9	Walking	Large enclosure	Arnold et al 2006
Alpine ibex	20	6-647	347.9	Walking	Year-round*	Signer et al 2011
Red deer (dataset 1)	15	278-549	441.1	Walking	Year-round, large enclosure*	Turbill et al 2011
Red deer (dataset 2)	9	11-607	203.6	Walking	Year-round, large enclosure	Arnold et al 2004
Roe deer	15	8-372	152.7	Walking	Year-round, large enclosure	Reimoser 2012
Human beings	7	12-20	14.4	Walking	Daily modern life	This study: KJM and LGH
Grey seals	29	6-20	10.4	Body undulation	On land, resting, nursing	This study: SDT
Sockeye salmon	54	1-17	7.0	Swimming	Competitive terminal reproduction	This study: TSP and SJC

649 *These datasets contain yearlong data for sufficient individuals in order for month-by-month analyses over the annual cycle to be conducted
 650 robustly (see main text for further details).

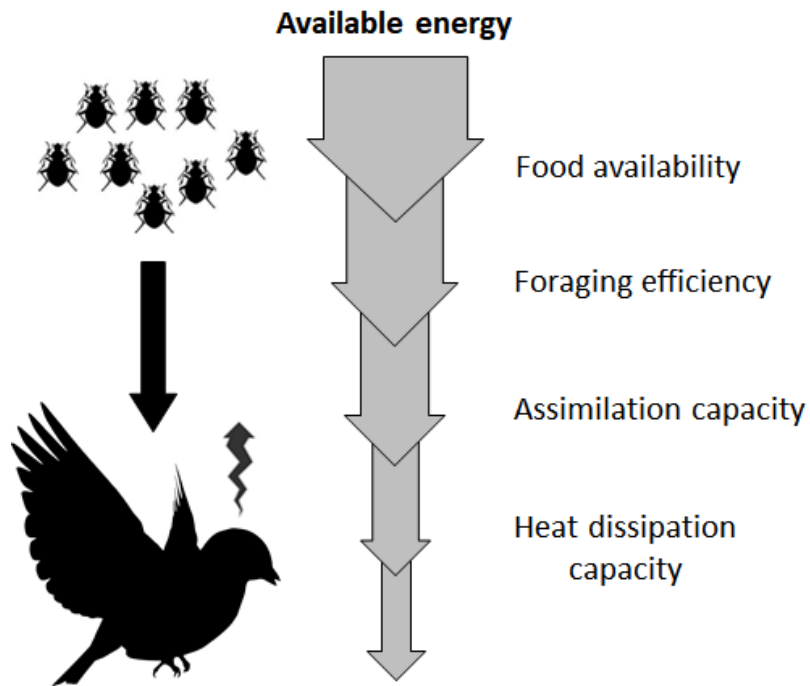
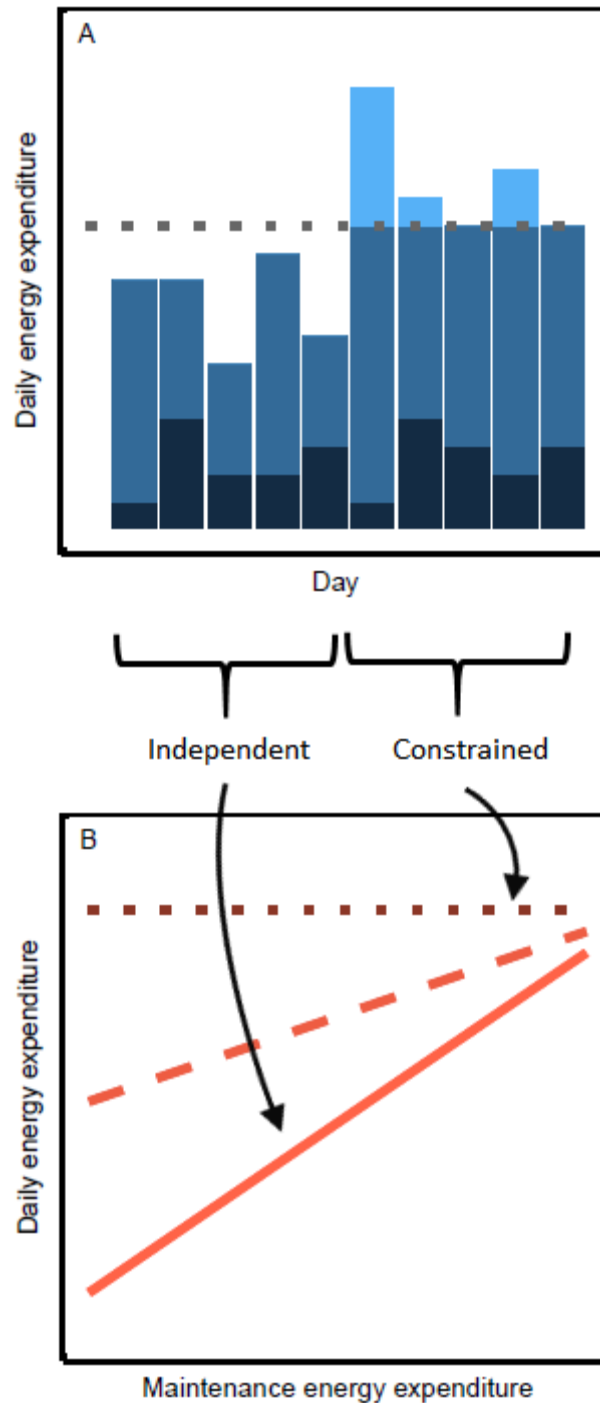


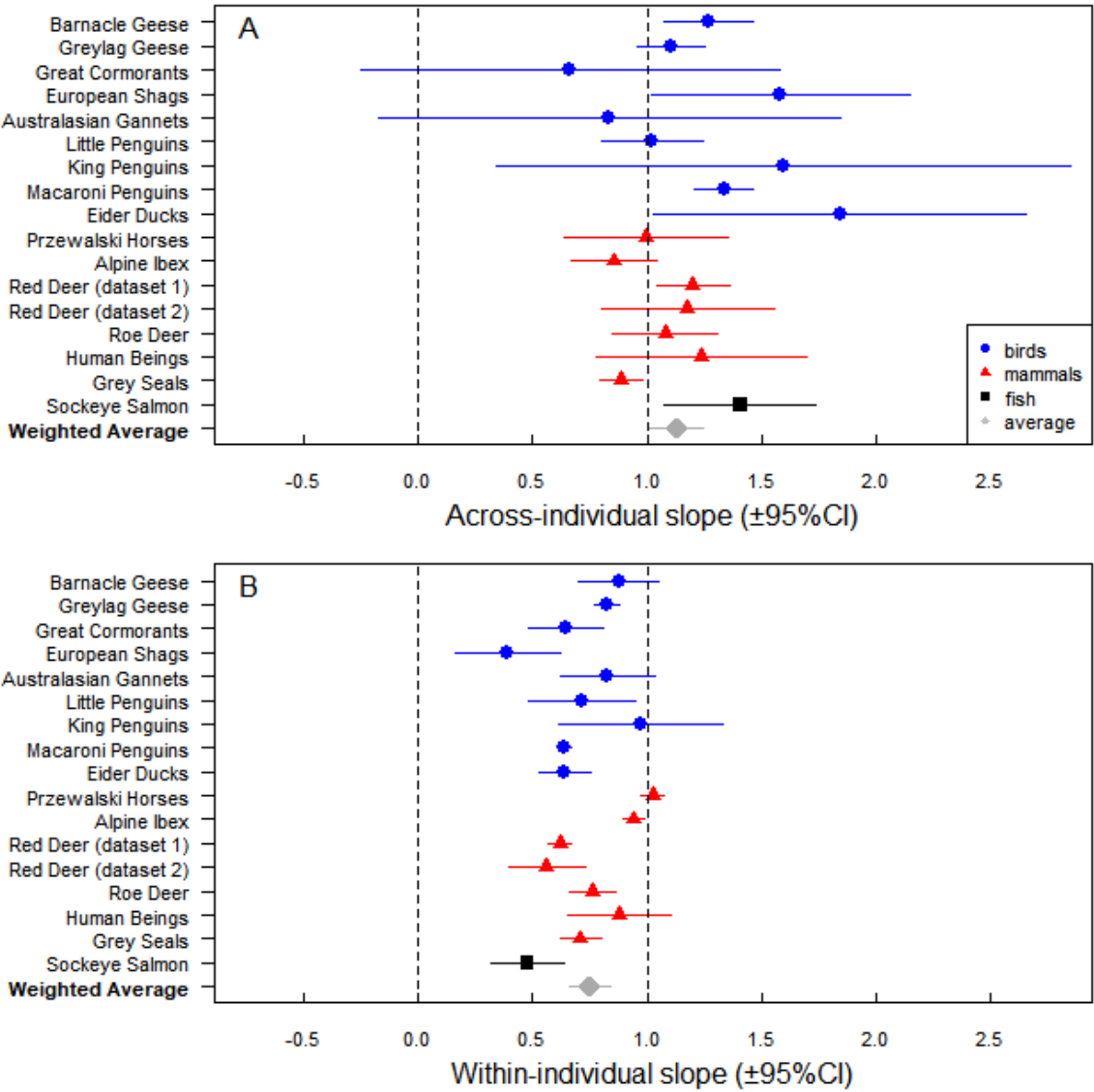
Figure 1. Animals have a maximum possible throughput of energy, because they inevitably experience energy constraints. These can arise from a number of exogenous or endogenous factors: limited food availability in the environment; limited capacity to harvest available food; limited capacity to assimilate the food harvested; limited capacity to release heat generated by the expenditure of energy. Thus the theoretically available energy to an animal (widest grey arrow, top) is in reality a much smaller energy availability once all potential constraints are factored in (thinnest grey arrow, bottom).



660

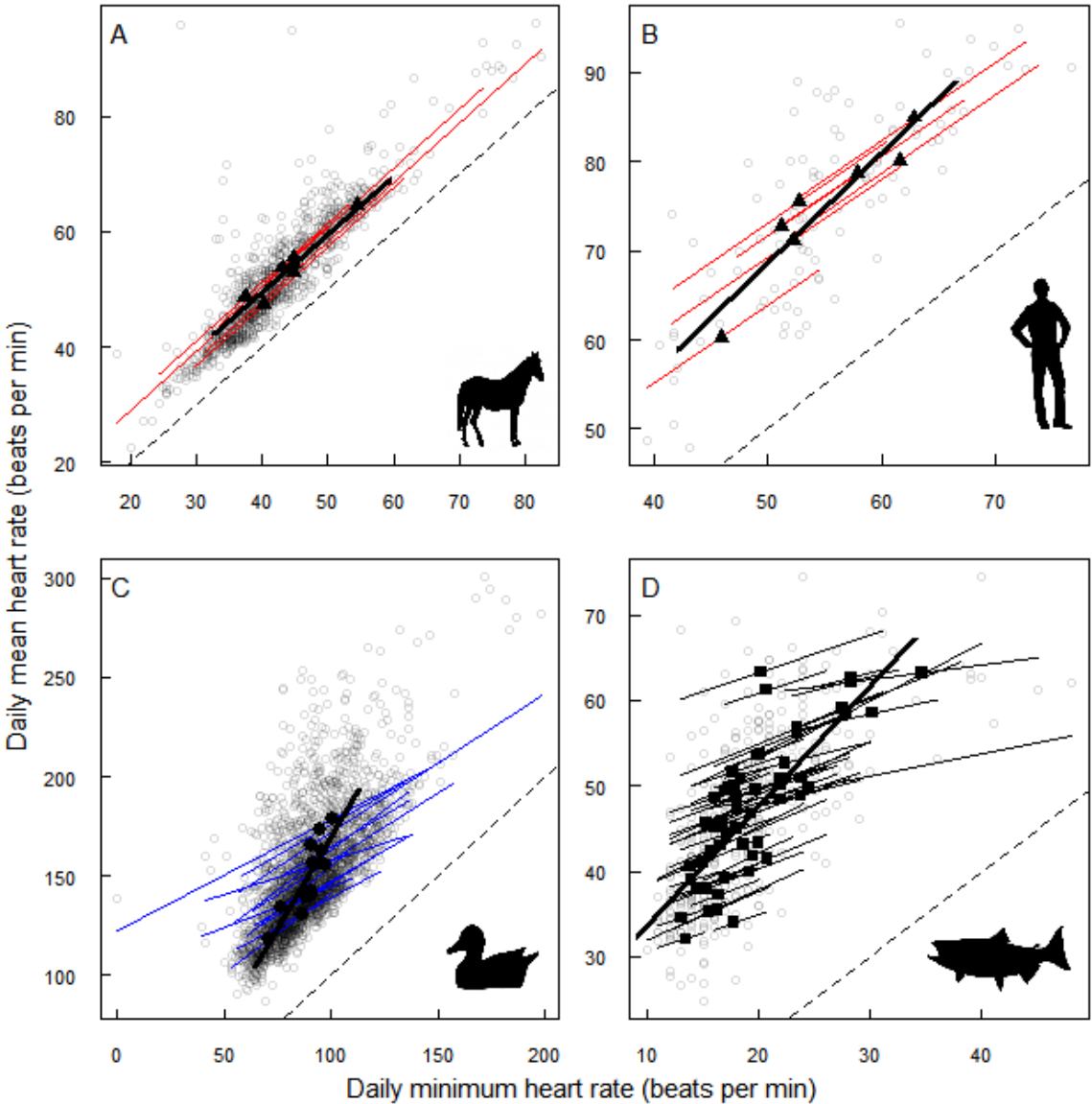
661 **Figure 2.** Illustration of a hypothesis to explain intra-individual variation in patterns in energy
 662 expenditure. During periods when daily energy expenditure is below a threshold (panel A, the
 663 first five days), the energy expended on maintenance processes (darkest blue) is
 664 unconstrained by the energy expended on auxiliary processes such as activity (medium blue).
 665 In a regression of daily energy expenditure against maintenance energy expenditure (panel
 666 B), this manifests as a slope value of 1 (light, full red line). By contrast, during periods when
 667 auxiliary energy expenditure is high and daily energy expenditure is reaching the threshold

668 (panel A, the second five days), daily energy expenditure is constrained by a reduction in
669 auxiliary energy expenditure (the light blue bars indicate what auxiliary and daily energy
670 expenditure would be without constraint), which manifests as a slope value of zero (dark,
671 dotted red line). Consequently, the slope of the relationship between daily energy
672 expenditure and maintenance energy for the entire 10 d lies between the slope values of the
673 relationships for the first and last five days (medium, dashed red line), and thus has a slope
674 value $< 1 > 0$, indicating partial energy constraint.



677 **Figure 3.** Slope (±95% confidence intervals; CI) of the relationship between daily mean heart
678 rate (f_H , a proxy of daily energy expenditure) and daily minimum f_H (a proxy of daily
679 maintenance energy expenditure) estimated at the A) across- and B) within-individual levels
680 in 9, 6, and 1 species of free-ranging birds (blue dots), mammals (red triangles), and fish
681 (green squares). The slope and 95% CI can be used to identify the energy management
682 pattern adopted in each species. While complete energetic constraint is represented by a
683 slope of 0, complete energetic independence is predicted by a slope of 1 because of the
684 whole-part correlation (i.e., daily minimum f_H is included within daily mean f_H). By contrast,

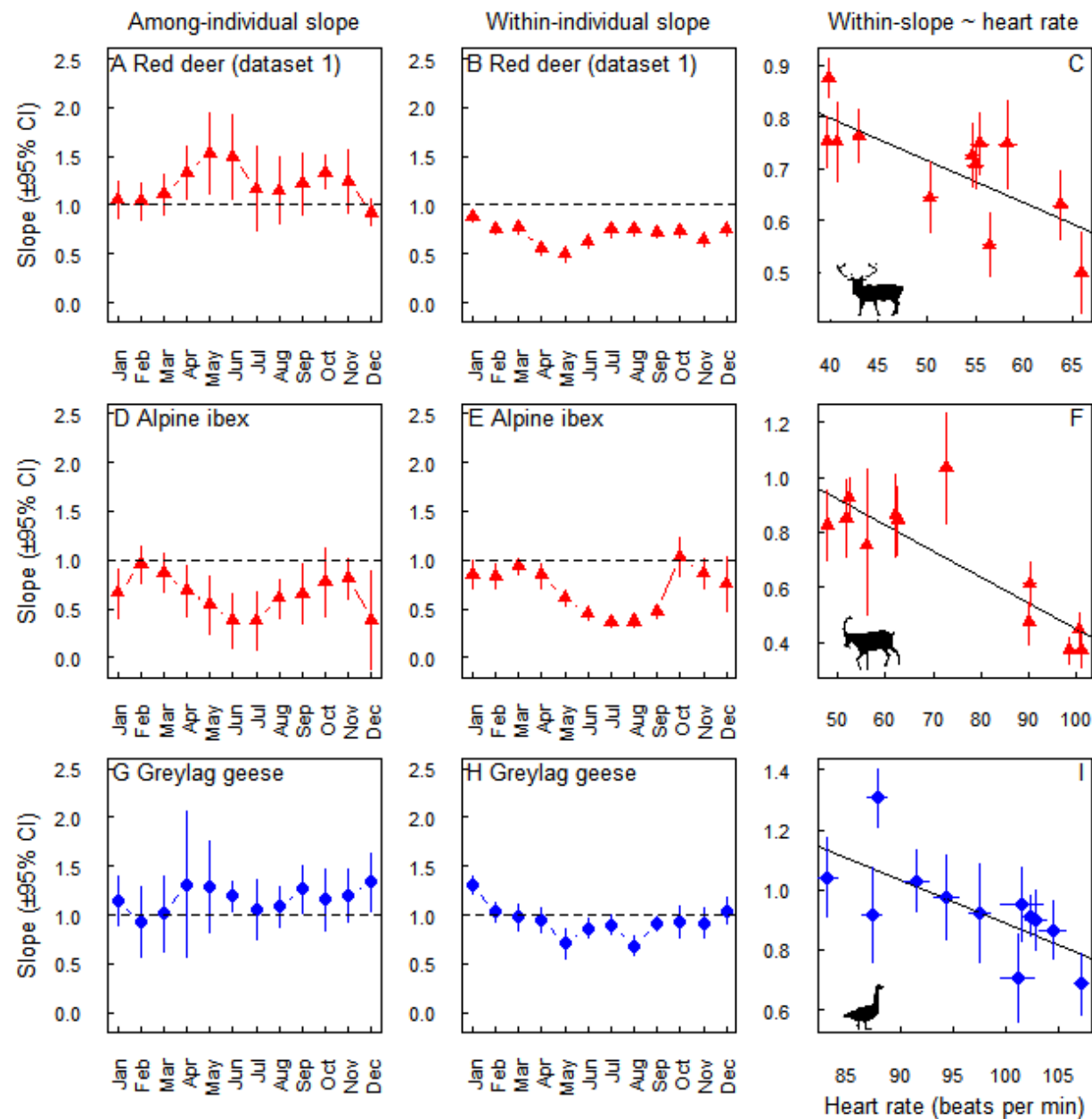
685 the performance energy pattern is represented by a slope >1 because maintenance energy
686 expenditure increases with auxiliary energy expenditure.



688

689

690 **Figure 4.** Relationship between daily mean heart rate (f_H) (a proxy of daily energy
691 expenditure) and minimum daily f_H (a proxy of daily maintenance energy expenditure) in
692 representative species where both the across- and within-individual slopes are close to 1 (A
693 and B), and the across- and within-individual slopes are clearly >1 and <1 (C and D,
694 respectively). In all panels, filled data points represent individual means and unfilled grey
695 circles represent all observations (one data point per individual per day). The across-
696 individual slope is represented by the thick black line and the within-individual slopes are
697 shown by the thinner, coloured lines. The dashed line represents the line of unity.



699 **Figure 5.** Across- and within-individual best-fit slope values for the regression of daily mean heart rate (f_H) against mean minimum f_H in A-B) red
700 deer dataset 1, D-E) alpine ibex, and G-H) greylag geese, plotted month-by-month. Vertical lines represent the 95% confidence interval (CI).
701 Within-individual slope values linearly regressed against mean monthly daily mean heart for these three species are also presented (C, F and I).
702

703

704 SUPPLEMENTARY

705 *Methods for data collection of new datasets*

706 The dataset on human beings *Homo sapiens* was collected in 2016 and 2017 by K.J.M. and
707 L.G.H. Seven participants wore a Bioharness (v.3; Zephyr Technology) for around a fortnight.
708 This device measured their f_H at 1 Hz continuously. Occasional periods where f_H was clearly
709 spuriously low (presumably due to a temporary loss of contact between the electrodes and
710 the skin) were replaced with daily mean values using an automated procedure in Microsoft
711 Excel. Ethical approval was provided by the Department of Life Sciences at the University of
712 Roehampton.

713 The dataset on lactating (and fasting) adult female grey seals *Halichoerus grypus* was
714 obtained by S.D.T. during the 2015, 2016, and 2017 breeding seasons at the Isle of May
715 (56.1856° N, 2.5575° W)), using modified Firstbeat(TM) f_H belts ([https://international-](https://international-shop.firstbeat.com/product/team-pack/)
716 [shop.firstbeat.com/product/team-pack/](https://international-shop.firstbeat.com/product/team-pack/)). The transmitter portion of the belt was mounted
717 dorsally between the scapulae, and the belt was replaced with protected cables leading to
718 silver chloride electrodes located immediately posterior of the fore flippers. Inter-beat
719 interval data (in milliseconds) were transmitted to a Firstbeat(TM) Team receiver located
720 between 50 and 100 m away from instrumented seals. Raw data were corrected for artefacts
721 (von Borell *et al.* 2007; Brannan 2017) using Firstbeat(TM) Sports software (v.4.5.0.2) and
722 RHRV (Martínez *et al.* 2017). Heart rate was summarised over discrete 15-minute periods,
723 and traces with >50% of flats and stairs (calculated using bespoke R scripts) were excluded
724 from analyses (Brannan 2017, unpublished data). Heart rate data were collected during
725 daylight hours only. Before further analysis, the dataset was restricted to days with five or
726 more measurement periods and then those animals for which there were data on six or more
727 such days. These procedures conformed to the UK Animals (Scientific Procedures) Act, 1986
728 and were performed in collaboration with the Sea Mammal Research Unit (University of St.
729 Andrews), operating under UK Home Office project licence #60/4009. All research was
730 approved ethically by the Durham University Animal Welfare Ethical Review Board as well as
731 by the University of St. Andrews Animal Welfare and Ethics Committee.

During the summer of 2016, data were collected on mature sockeye salmon *Oncorhynchus nerka* by T.S.P. and S.J.C. They were dip-netted from the mouth of the Gates Spawning Channel in British Columbia (50.5481°N, 122.4832°W). Fish were electro-sedated using fish handling gloves (Smith-Root, Inc., Washington, USA, <http://www.smith-root.com>; 10-25mA). They were then instrumented with heart rate and temperature biologgers (DST milli HRT, 13mm x 39.5mm, Star-Oddi, Iceland; <http://www.star-oddi.com/>) programmed to record heart rate at 100 Hz, and raw electrocardiogram (ECG) every 1.5 hours. Instrumentation involved surgical implantation next to the pericardial membrane via a 3 to 5 cm incision (surgical methods described in (Prystay *et al.* 2017)). The fish were then released into the spawning channel. Sockeye salmon die after spawning, therefore corpses were collected after natural death, at which point the heart rate biologgers were retrieved. This research was conducted in accordance with the Canadian Council on Animal Care Guidelines for Use of Fishes in Research under protocol 102935 issued by Carleton University.

746

747 **Supplementary Table 1.** Variance components extracted from univariate mixed models of daily mean heart rate (a proxy of daily energy
748 expenditure) in 16 endotherm species, including variance attributed to random intercepts ($V_{\text{intercept}}$), random slopes (V_{slope}), and residual variance
749 (V_{residual}). Significance of random intercepts and slopes was tested using a log-likelihood ratio test of a full model vs. a reduced model that excluded
750 the variance component of interest. Also shown are total raw variance (V_{total}), and autocorrelation structure of order 1, fitted Julian day as a
751 continuous time covariate.

Species	Variance components								Autocorrelation		
	Random intercepts			Random slopes			V_{residual}	V_{total}			
	$V_{\text{intercept}}$	χ^2	P	V_{slope}	χ^2	P			estimate	χ^2	P
Barnacle geese	4.45	1.17	0.28	0.04	49.76	<0.001	439.44	1885.72	0.65	700.12	<0.001
Greylag geese	6.41	198.06	<0.001	0.02	122.39	<0.001	43.17	243.80	0.52	1911.06	<0.001
Great cormorants	18.65	16.54	<0.001	0.03	1.73	0.19	114.41	160.70	0.70	179.58	<0.001
European shags	15.99	0.28	0.60	<0.001	<0.001	1.00	231.43	576.98	0.51	10.70	0.001
Australasian gannets	81.49	55.37	<0.001	0.06	25.91	<0.001	483.87	948.38	0.08	6.17	0.01
Little penguins	0.00	0.00	1.00	0.06	15.85	<0.001	290.87	1229.27	0.71	245.09	<0.001
King penguins	156.00	3.51	0.06	0.11	6.79	0.01	132.90	732.93	0.74	85.61	<0.001
Macaroni penguins	88.28	210.21	<0.001	0.01	198.21	<0.001	310.75	1706.17	0.69	5076.61	<0.001
Eider ducks	90.18	31.02	<0.001	0.03	23.44	<0.001	350.18	833.81	0.80	2177.42	<0.001
Przewalski horses	2.60	25.23	<0.001	<0.001	0.001	0.98	18.54	100.99	0.41	106.20	<0.001
Alpine ibex	14.18	176.02	<0.001	0.01	115.80	<0.001	61.69	504.14	0.46	1187.43	<0.001
Red deer (dataset 1)	<0.001	<0.001	0.98	0.01	177.74	<0.001	27.85	147.33	0.92	1560.95	<0.001
Red deer (dataset 2)	<0.001	<0.001	1.00	0.05	160.20	<0.001	81.46	208.05	0.95	1732.36	<0.001
Roe deer	11.37	37.63	<0.001	0.03	77.88	<0.001	33.13	221.20	0.63	925.98	<0.001
Human beings	4.05	1.47	0.23	<0.001	0.00	1.00	37.64	117.13	0.15	1.58	0.21
Grey seals	4.05	7.61	0.01	0.03	13.86	<0.001	19.73	162.98	0.23	5.52	0.02
Sockeye salmon	<0.001	<0.001	1.00	0.06	2.43	0.12	53.61	95.92	0.71	94.78	<0.001

752

Supplementary Table 2. Relationships between daily mean heart rate (f_H ; a proxy of daily energy expenditure) and daily minimum f_H (a proxy of daily maintenance energy expenditure) estimated at the A) across- and B) within-individual levels in 16 free-ranging endotherms species (9 bird, 6 mammal and a fish species). $r^2_{GLMM(m)}$ means marginal r^2 estimated for general linear mixed models.

Species	A) Among-individual slope			B) Within-individual slope			
	estimate	95%CI		estimate	95%CI		$r^2_{GLMM(m)}$
		lower	upper		lower	upper	
Barnacle geese	1.27	1.08	1.46	0.88	0.70	1.05	0.71
Greylag geese	1.11	0.96	1.26	0.83	0.77	0.88	0.76
Great cormorants	0.66	-0.25	1.58	0.64	0.48	0.81	0.24
European shags	1.58	1.02	2.15	0.39	0.16	0.62	0.61
Australasian gannets	0.84	-0.17	1.84	0.83	0.62	1.04	0.40
Little penguins	1.02	0.80	1.25	0.72	0.48	0.95	0.71
King penguins	1.60	0.34	2.85	0.98	0.62	1.34	0.61
Macaroni penguins	1.34	1.21	1.46	0.64	0.61	0.67	0.72
Eider ducks	1.84	1.03	2.66	0.64	0.53	0.75	0.40
Przewalski horses	1.00	0.64	1.35	1.03	0.98	1.08	0.77
Alpine ibex	0.86	0.67	1.05	0.94	0.89	0.99	0.83
Red deer (dataset 1)	1.20	1.04	1.36	0.62	0.57	0.67	0.69
Red deer (dataset 2)	1.18	0.80	1.56	0.56	0.39	0.73	0.45
Roe deer	1.08	0.85	1.31	0.76	0.66	0.87	0.74
Human beings	1.24	0.78	1.70	0.88	0.65	1.11	0.64
Grey seals	0.89	0.79	0.98	0.71	0.62	0.80	0.83
Sockeye salmon	1.41	1.08	1.74	0.48	0.32	0.64	0.39
Weighted average	1.13	1.01	1.25	0.75	0.66	0.84	0.62

Coefficient of determination (r^2) values were calculated for each model using `sem.model.fits()` in the `piecewiseSEM` package (Nakagawa & Schielzeth 2013). We checked the validation of the models by visually assessing plots of the residuals against the fitted values, and against minimum f_H (Zuur, Hilbe & Ieno 2013).

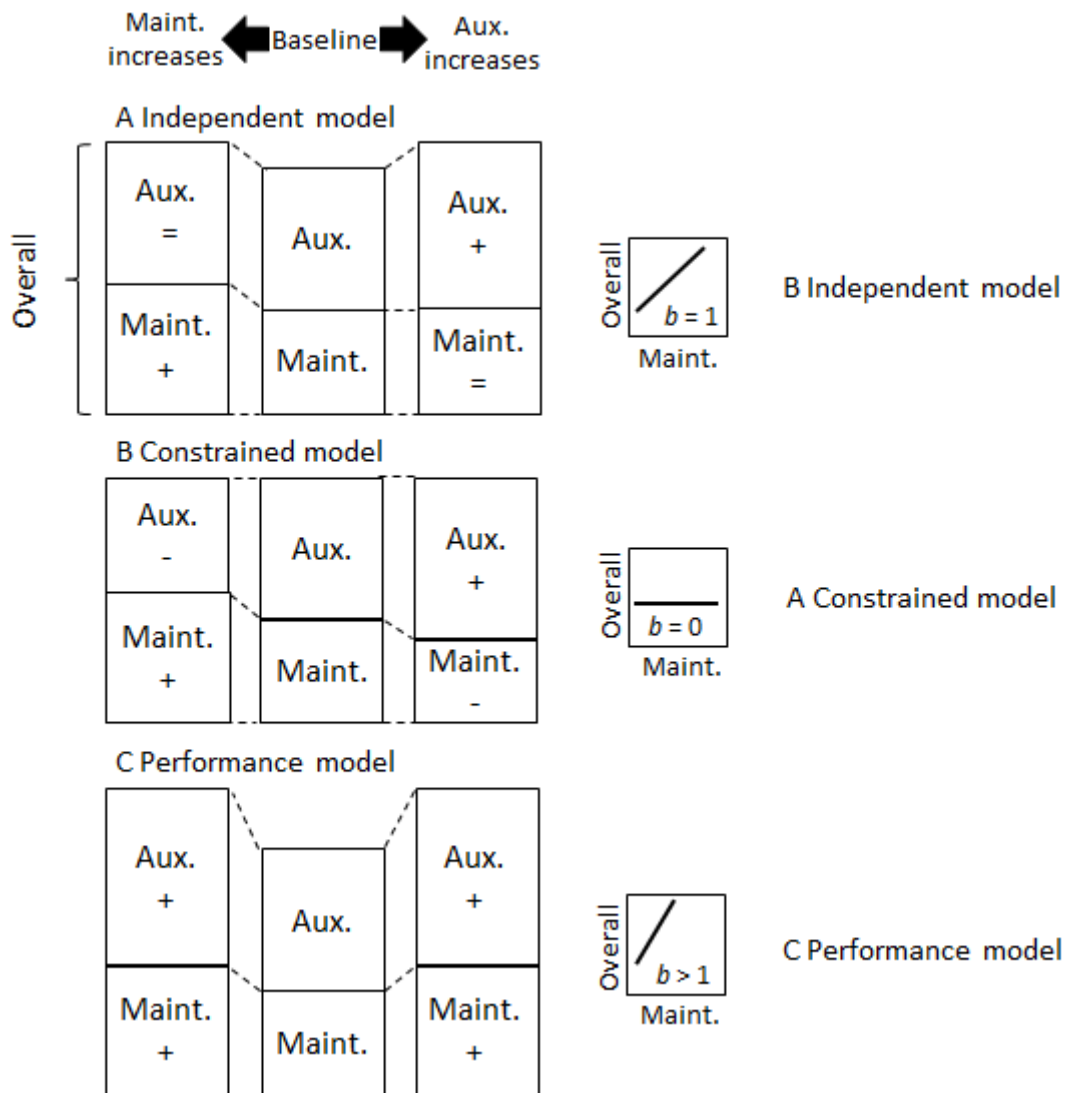
Investigating auxiliary energy expenditure

The constrained pattern of energy expenditure is associated with lower maintenance energy expenditure during periods when ‘energetically costly behaviours’ are higher, or vice-versa (Mathot & Dingemanse 2015). For roe deer the slope of the relationship between daily mean heart rate (f_H ; a proxy for daily energy expenditure) and daily minimum f_H (a proxy for daily

maintenance energy expenditure) within individuals is substantially less than 1 (fig. 2B), which is assumed to indicate that during periods when energetically costly behaviours are performed, maintenance energy expenditure attenuates, or vice-versa. This relationship can be illustrated by a scatterplot of the negative relationship between daily minimum f_H and daily activity f_H , where the latter is calculated as the difference between daily mean and minimum f_H (Portugal *et al.* 2016) (Supplementary fig. 2A; $p < 0.001$ for the within-subject effect slope).

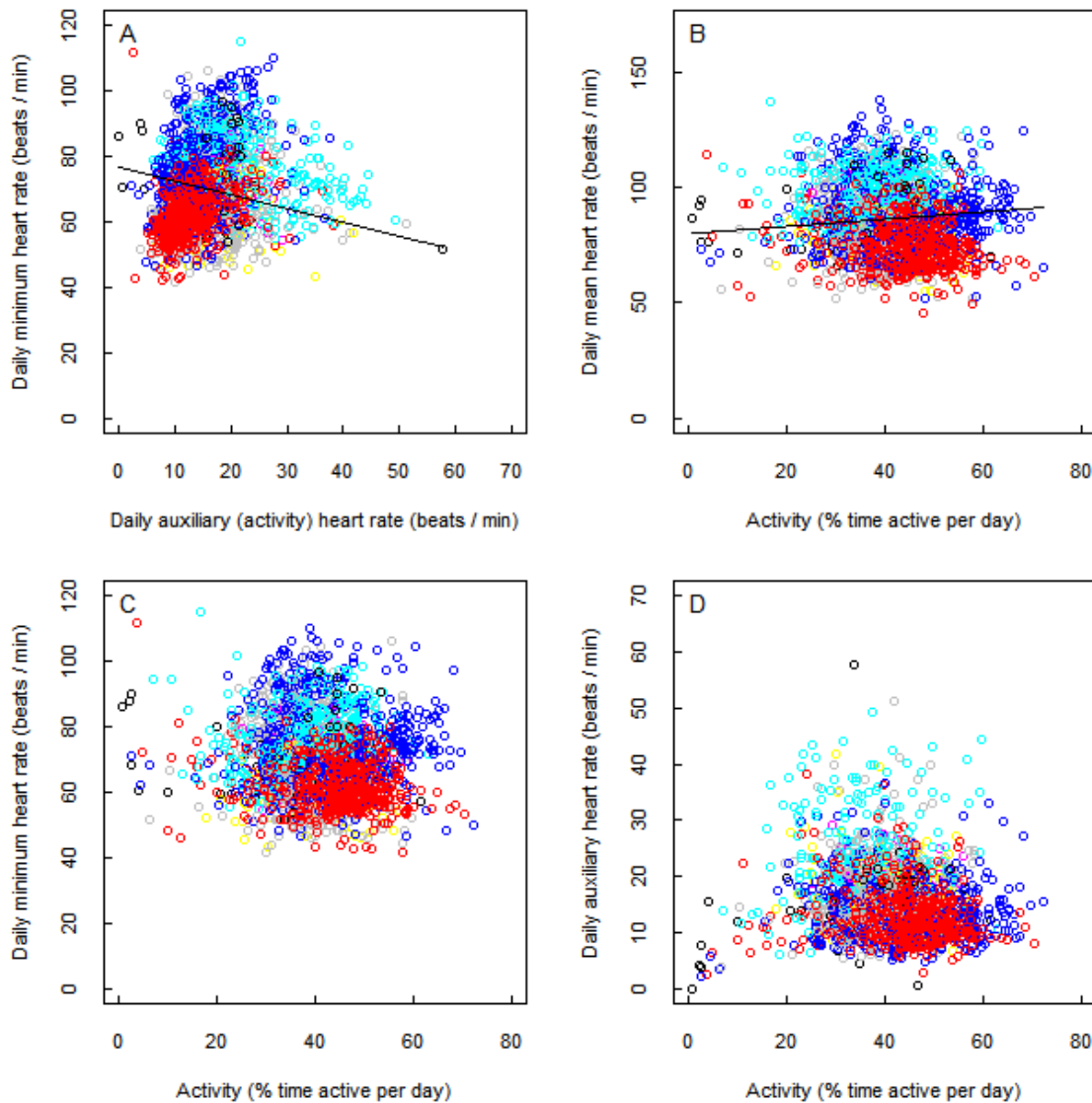
The roe deer dataset analysed in the present study also includes activity measures, enabling further interrogation of this aspect of the constrained energy pattern. A tilt switch implanted in the neck measured whether the animal's head was up or down, while changes in signal strength recorded by the antenna that received information from the collar transmitter on each animal indicated locomotion (Arnold *et al.* 2004; Reimoser 2012). Combining these two measures, along with heart rate, enabled classification of each minute of measurement as either 'active' or 'not active'. Activity per day was then calculated as the percentage of minutes active. While there is a positive relationship between daily mean f_H and activity levels (Supplementary fig. 2B; $p < 0.001$ for the within subject effect slope), the regression relationship between daily minimum f_H and activity levels within each individual does not follow the prediction of the constrained pattern since there is no evidence that daily minimum f_H covaries negatively with activity level (Supplementary fig. 2C; $p=0.26$ for the within-subject effect slope). The explanation for this is that daily activity f_H is not represented exclusively by the energy costs of activity. This is evidenced by the lack of a relationship between daily activity f_H and activity levels (Supplementary fig. 2D; $p=0.33$ for the within-subject effect slope). Rather, daily activity f_H also includes important other energy costs; we suggest it is better termed daily auxiliary f_H . At least some of these auxiliary energy costs, which are not activity per se, are low when activity levels are high. These non-activity auxiliary energy costs, reduced in compensation for activity energy costs, could be associated with low intensity behaviours such as reductions in levels of fidgeting (Levine, Eberhardt & Jensen 1999) or changes in body posture (Levine, Schleusner & Jensen 2000; Ward, Speakman & Slater 2003). Evidence for this possibility comes from an across-school study of children, in which the amount of physical activity the children undertook at school did not relate to their levels of physical activity over the entire day (Mallam *et al.* 2003), and a study

of elderly participants who exhibited no increase in daily activity levels during periods of physical training (Meijer, Westerterp & Verstappen 1999). Garland et al. (2011) report data showing that in young adults, daily energy expenditure is not as high as expected on days when physical activity is high. Furthermore, a meta-analysis by Wing et al. (1999) found that only 2 out of 13 studies reported statistically significant differences in weight loss for participants both dieting and undertaking exercise versus participants who were dieting only. Finally, Westerners have similar daily energy expenditures to the more physically active Hadza people of Tanzania (Pontzer *et al.* 2012). Thus for roe deer at least, rather than maintenance energy expenditure decreasing in response to high levels of energy spent on activity, maintenance energy expenditure does not systematically change; specific auxiliary costs decrease instead (resulting in the lack of relationship between daily auxiliary daily activity f_H and activity levels (Supplementary fig.2D). These specific auxiliary costs decrease sufficiently so that the relationship between daily mean activity f_H and daily minimum daily activity f_H is less than 1 indicating an element of the energy constrained pattern (fig. 2B).



Supplementary Figure 1. Hypothetical representations of three energy management patterns (modified from Careau 2017; refer to that paper for a full explanation). Comparing the middle versus right stacks shows the effect of an increase in auxiliary energy expenditure on daily energy expenditure and maintenance energy expenditure. Comparing the middle versus left stacks shows the effect of an increase in maintenance energy expenditure on daily energy expenditure and auxiliary energy expenditure. The right-hand panel shows the predicted relationship between daily energy expenditure and maintenance energy expenditure, along with the predicted slope (b) of the relationship, as suggested by Mathot and Dingemanse (2015). A) The independent pattern, where maintenance and auxiliary energy expenditure are independent of each other. B) The constrained pattern, where increases in maintenance energy expenditure are associated with decreases in auxiliary energy expenditure and vice-

versa. C) The performance pattern is defined by increases in maintenance energy expenditure in response to increases in auxiliary energy expenditure, and vice-versa.

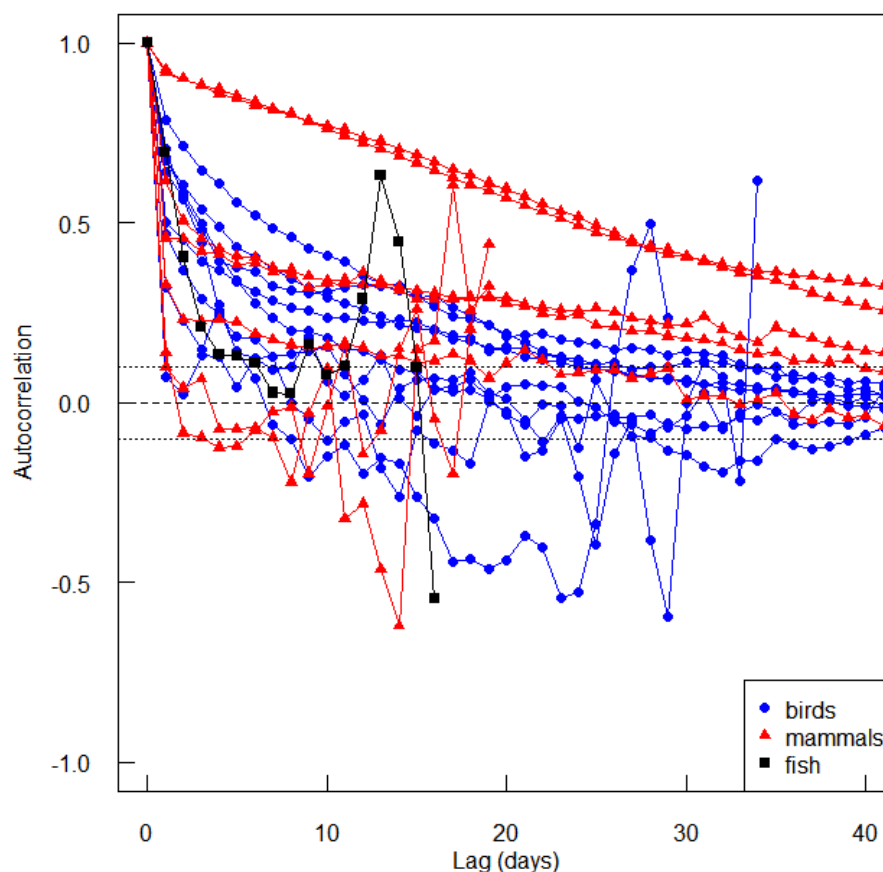


Supplementary Figure 2. Relationships within individual roe deer between (A) minimum daily heart rate (f_H ; a proxy for daily maintenance energy expenditure) and daily auxiliary (activity) f_H (a proxy for activity energy expenditure). The relationship is negative, indicating some degree of energy constraint is exhibited by this species. (B) daily mean f_H (a proxy for daily mean energy expenditure) and daily activity levels. The relationship is positive. (C) minimum daily f_H and daily activity levels. There is no evidence for a relationship. (D) daily auxiliary (activity) f_H and daily activity levels. There is no evidence for a relationship. Individual animals

are represented by different colours. All observations included in this study's analysis are presented in these panels but a large number are obscured due to data points overlapping. The presented lines of best-fit represent the overall within-individual relationships. They were calculated from mixed models that were input within-subject centred values of minimum daily f_H , and also accounted for temporal autocorrelation. To calculate the intercept of these particular best-fit lines correctly, the data had to be centred on $x=0$ for the mixed model, and then the resultant intercept adjusted to account for the true x values.

Autocorrelation functions in the data

In all species except roe deer, there was strong and statistically significant temporal autocorrelation across successive daily measurements at the within-individual level (Supplementary fig.2; Table 2).



Supplementary Figure 3. Autocorrelation functions fitted in the residual structure of univariate mixed models of daily mean heart rate (a proxy of daily energy expenditure) in 9 species of free-ranging birds (blue dots), 6 species of free-ranging mammals (red triangles)

and an ectothermic species of fish. The autocorrelation values are calculated using pairs of residuals at the within-individual level within each species. The strong significance of the autocorrelation term in most models indicates that some important explanatory variables determining daily mean heart rate (f_H) are missing, particularly those operating at scales of approximately 2-20 d. Presumably, if the relevant variables were available (e.g. temperature, food availability, breeding status etc.), their inclusion in the model would reduce the amount of autocorrelation in the residuals.

References

- Arnold, W., Ruf, T., Reimoser, S., Tataruch, F., Onderscheka, K. & Schober, F. (2004) Nocturnal hypometabolism as an overwintering strategy of red deer (*Cervus elaphus*). *American Journal of Physiology-Regulatory, Integrative and Comparative Physiology*, **286**, R174-R181.
- Garland, T., Schutz, H., Chappell, M.A., Keeney, B.K., Meek, T.H., Copes, L.E., Acosta, W., Drenowatz, C., Maciel, R.C. & Van Dijk, G. (2011) The biological control of voluntary exercise, spontaneous physical activity and daily energy expenditure in relation to obesity: human and rodent perspectives. *The Journal of Experimental Biology*, **214**, 206-229.
- Levine, J., Eberhardt, N. & Jensen, M. (1999) Role of Nonexercise Activity Thermogenesis in Resistance to Fat Gain in Humans. *Science*, **283**, 212-214.
- Levine, J.A., Schleusner, S.J. & Jensen, M.D. (2000) Energy expenditure of nonexercise activity. *The American Journal of Clinical Nutrition*, **72**, 1451-1454.
- Mallam, K.M., Metcalf, B.S., Kirkby, J., Voss, L.D. & Wilkin, T.J. (2003) Contribution of timetabled physical education to total physical activity in primary school children: cross sectional study. *BMJ*, **327**, 592-593.
- Mathot, K. & Dingemanse, N. (2015) Energetics and behavior: unrequited needs and new directions. *TREE*, **30**, 199-206.
- Meijer, E.P., Westerterp, K.R. & Verstappen, F.T. (1999) Effect of exercise training on total daily physical activity in elderly humans. *European Journal of Applied Physiology and Occupational Physiology*, **80**, 16-21.
- Nakagawa, S. & Schielzeth, H. (2013) A general and simple method for obtaining R^2 from generalized linear mixed-effects models. *Methods in Ecology and Evolution*, **4**, 133-142.
- Pontzer, H., Raichlen, D.A., Wood, B.M., Mabulla, A.Z.P., Racette, S.B. & Marlowe, F.W. (2012) Hunter-Gatherer Energetics and Human Obesity. *PLoS ONE*, **7**, e40503.
- Portugal, S.J., Green, J.A., Halsey, L.G., Arnold, W., Careau, V., Dann, P., Frappell, P.B., Grémillet, D., Handrich, Y., Martin, G.R., Ruf, T., Guillemette, M.M. & Butler, P.J. (2016) Associations between Resting, Activity, and Daily Metabolic Rate in Free-Living Endotherms: No Universal Rule in Birds and Mammals. *Physiological and Biochemical Zoology*, **89**, 251-261.
- Reimoser, S. (2012) Influence of anthropogenic disturbances on activity, behavior and heart rate of roe deer (*Capreolus capreolus*) and red deer (*Cervus elaphus*). *Context of their Daily and Yearly Patterns*. In: Cahler, AA, Marsten, JP (Eds): *Deer-Habitat, Behavior and Conservation. Hauptgag*. Nova Publishers.
- Ward, S., Speakman, J.R. & Slater, P.J.B. (2003) The energy cost of song in the canary, *Serinus canaria*. *Animal Behaviour*, **66**, 893-902.
- Wing, R.R. (1999) Physical activity in the treatment of the adulthood overweight and obesity: current evidence and research issues. *Medicine and Science in Sports and Exercise*, **31**, S547-552.

901 Zuur, A.F., Hilbe, J. & Ieno, E.N. (2013) *A Beginner's Guide to GLM and GLMM with R: A Frequentist*
902 *and Bayesian Perspective for Ecologists*. Highland Statistics.
903
904